Self-distillation with <u>no</u> labels DINO

Paper: Emerging Properties in Self-Supervised Vision Transformers M. Caron, H. Touvron, I. Misra, H. Jegou, J. Mairal, P. Bojanowski, A. Joulin ICCV (2021)

Digest by Samuel Albanie, June 2022



- Motivation
- Related work
- DINO framework
- Evaluation protocols
- Experiments
- Ablations
- Summary

Motivation

Vision transformers and self-supervision

Transformers have seen tremendous success in NLP

Vision Transformer (ViT) demonstrated competitive performance with

CNNs, but did not show dramatic benefits

A key factor in NLP successes was the use of self-supervision:

BERT (Devlin et al., 2019) - clozes/next sentence prediction

GPT (Radford et al., 2019) - language modelling

However, ViT is trained in a fully-supervised manner

Would Vision Transformers also benefit from self-supervision?

Reference:

K. He et al., "Momentum contrast for unsupervised visual representation learning", CVPR (2020) (Transformers) A. Vaswani et al., "Attention is all you need", NeurIPS (2017) M. Caron et al., "Unsupervised learning of visual features by contrasting cluster assignments", NeurIPS (2020) (ViT) A. Dosovitskiy, et al. "An image is worth 16x16 words: Transformers for image recognition at scale", ICLR (2021) (BERT) J. Devlin et al., "Bert: Pre-training of deep bidirectional transformers for language understanding", NAACL-HLT (2019) (GPT) A. Radford et al., "Language models are unsupervised multitask learners" (2019)

Emergent properties

Transformers encode a different set of inductive biases to CNNs Without convolutions, they do not enforce the principle of locality It is possible that Transformers behave differently under self-supervision They may encode scene layout or object boundaries differently

Do different properties emerge from Transformers than CNNs?

Which factors matter?

Many ideas in the self-supervised literature have improved performance

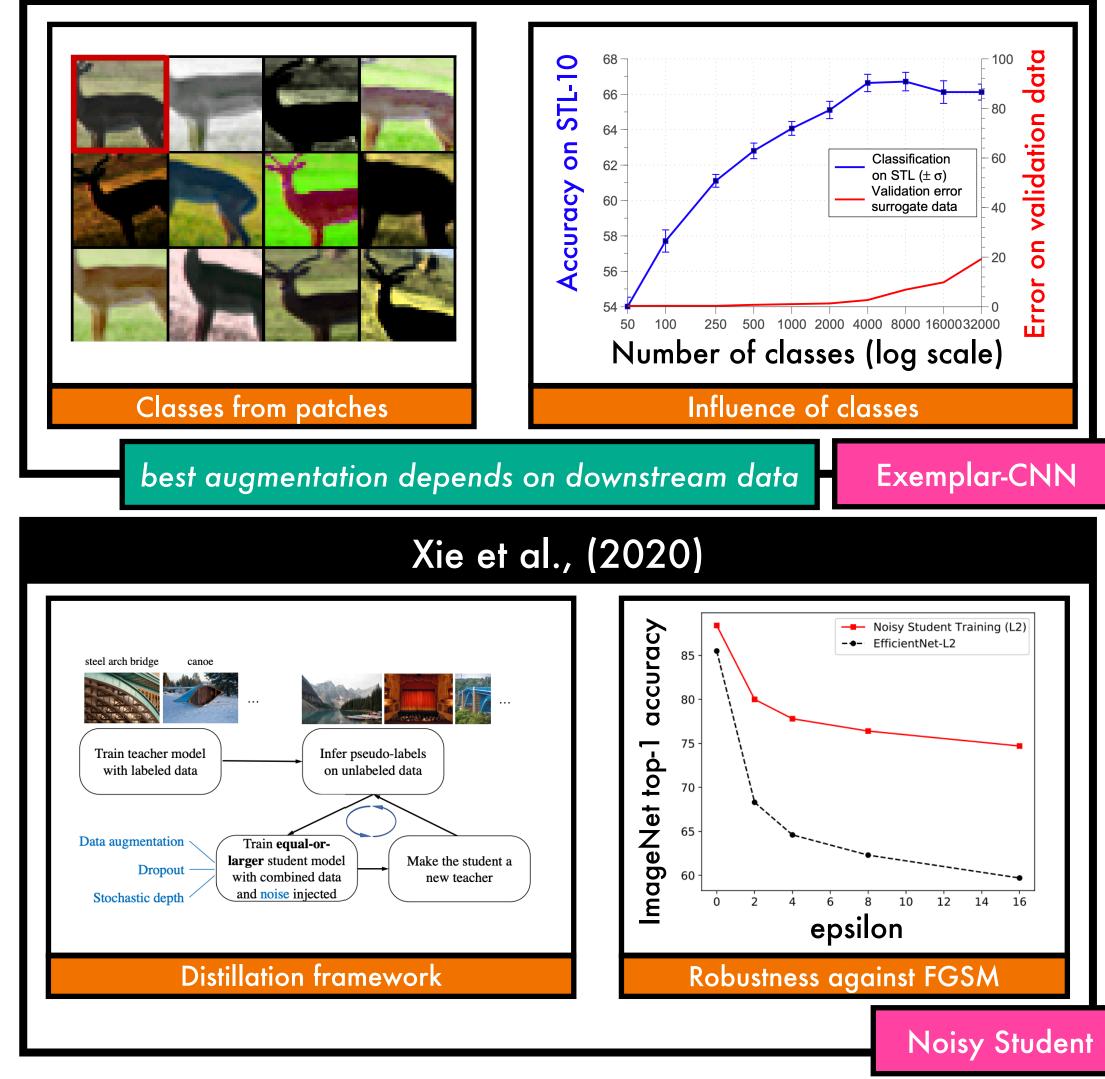
- momentum encoders (He et al., 2020)
- multi-crop augmentation (Caron et al., 2020)

How do these components affect feature properties?

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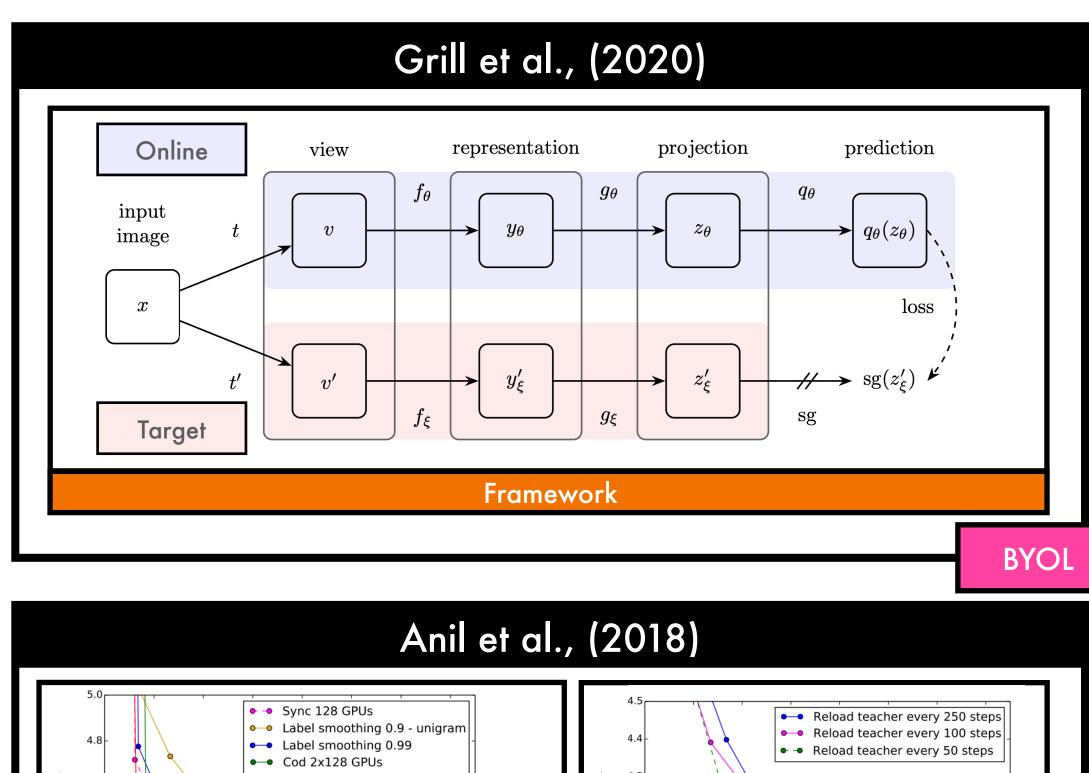
Related Work

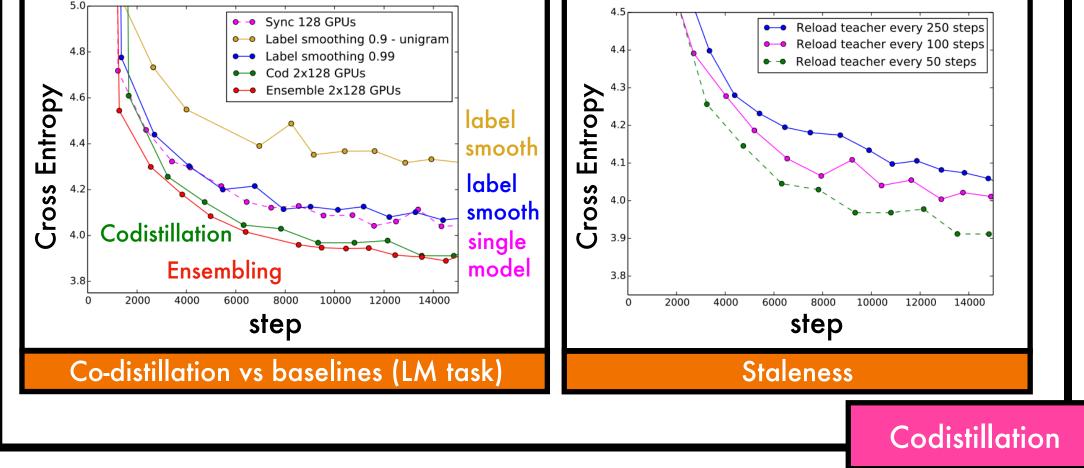
Dosovitskiy et al., (2014)



References/Image credits:

A. Dosovitskiy et al., "Discriminative unsupervised feature learning with convolutional neural networks", NeurIPS (2014) Q. Xie et al., "Self-training with noisy student improves imagenet classification", CVPR (2020)

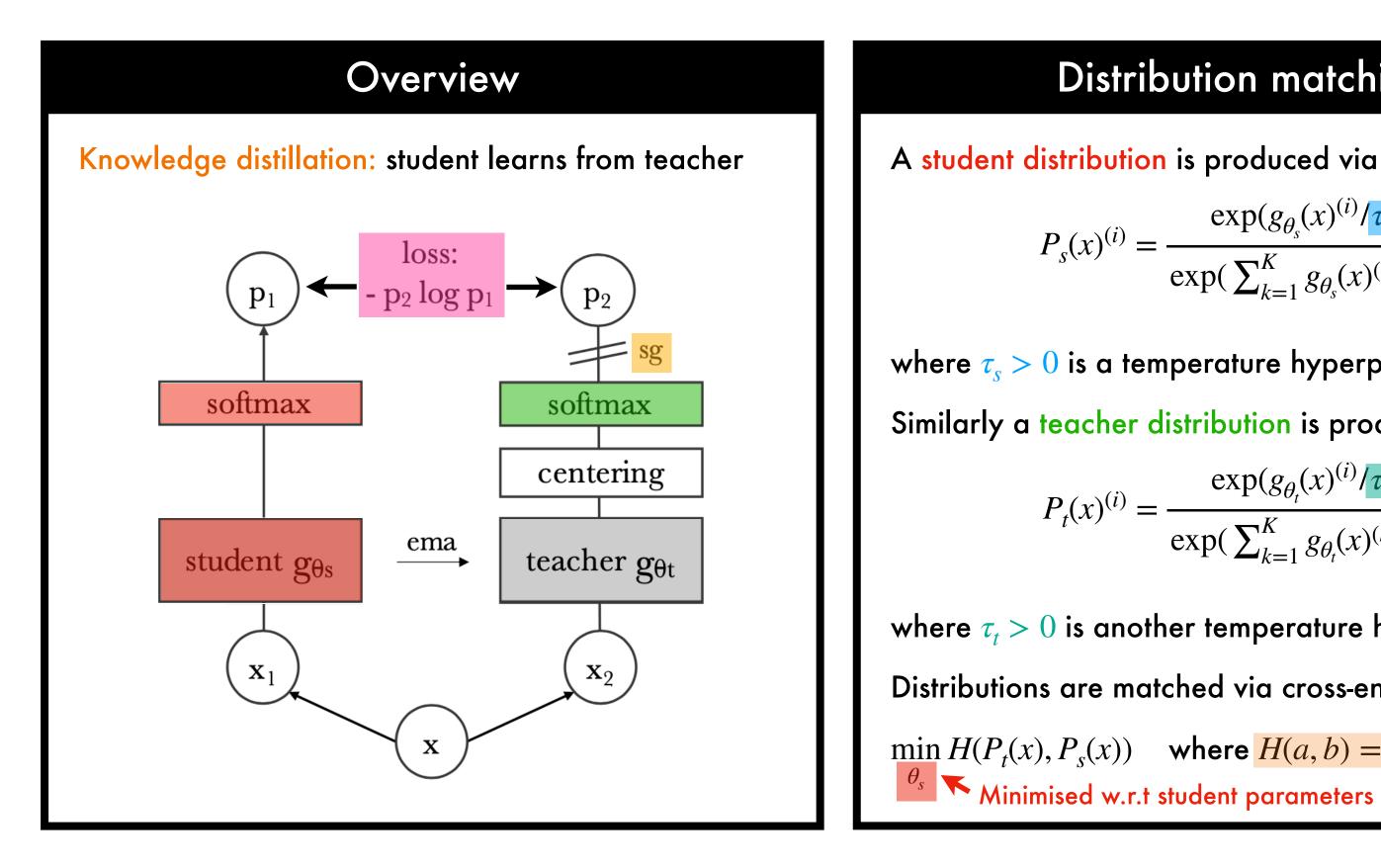




J-B. Grill et al., "Bootstrap your own latent-a new approach to self-supervised learning", NeurIPS (2020) R. Anil et al., "Large scale distributed neural network training through online distillation", arxiv (2018)

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Framework: Self-Supervised Learning with Knowledge Distillation



Reference/Image credits:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021)

Distribution matching

A student distribution is produced via a softmax:

 $P_{s}(x)^{(i)} = \frac{\exp(g_{\theta_{s}}(x)^{(i)}/\tau_{s})}{\exp(\sum_{k=1}^{K} g_{\theta_{s}}(x)^{(k)}/\tau_{s})}$

where $\tau_s > 0$ is a temperature hyperparameter

Similarly a teacher distribution is produced via:

 $P_{t}(x)^{(i)} = \frac{\exp(g_{\theta_{t}}(x)^{(i)}/\tau_{t})}{\exp(\sum_{k=1}^{K} g_{\theta_{t}}(x)^{(k)}/\tau_{t})}$

where $\tau_t > 0$ is another temperature hyperparameter

Distributions are matched via cross-entropy loss:

min $H(P_t(x), P_s(x))$ where $H(a, b) = -a \log b$

Pseudocode

Algorithm 1 DINO PyTorch pseudocode w/o multi-crop.

```
gs, gt: student and teacher networks
# C: center (K)
 tps, tpt: student and teacher temperatures
    m: network and center momentum rates
# 1.
gt.params = gs.params
for x in loader: # load a minibatch x with n samples
    x1, x2 = augment(x), augment(x) # random views
    s1, s2 = gs(x1), gs(x2) # student output n-by-K
    t1, t2 = gt(x1), gt(x2) # teacher output n-by-K
    loss = H(t1, s2)/2 + H(t2, s1)/2
    loss.backward() # back-propagate
    # student, teacher and center updates
    update(qs) # SGD
    gt.params = 1*gt.params + (1-1)*gs.params
    C = m * C + (1-m) * cat([t1, t2]).mean(dim=0)
def H(t, s):
    t = t.detach() # stop gradient
    s = softmax(s / tps, dim=1)
    t = softmax((t - C) / tpt, dim=1) # center + sharpen
    return - (t * log(s)).sum(dim=1).mean()
```



Framework: Self-Supervised Learning with Knowledge Distillation

Global and local views

In practice, $V \ge 2$ views of each image are used Inspired by multicrop strategy of SwAV The set of views V contains: • 2 global views x_1^g, x_2^g • several local views of smaller resolution Only global views are passed to the teacher All crops (global and local) are passed to the student This encourages local-to-global correspondences $\min \sum H(P_t(x), P_s(x'))$ $x \in \{x_1^g, x_2^g\} \xrightarrow{x' \in V, x' \neq x}$ both local and global Global views are crops at 224^2 resolution (> 50% area) Local views are crops at 96^2 resolution ($\leq 50\%$ area)

We do not have access to a supervised teacher Instead is built from past iterations of the student It is found that a momentum encoder works well with exponential moving average (EMA) <u>Update rule for teacher</u>: $\theta_t \leftarrow \lambda \theta_t + (1 - \lambda) \theta_s$ where λ follows a cosine schedule from 0.996 to 1 **Note:** the role of the momentum encoder in DINO is different to its role in MoCo (a queue for consistency) It may be closer to that of Mean Teacher (model parameter ensembling) Similar to Ruppert-Polyak model averaging to improve performance, resulting in a teacher that performs better than the student during training

References:

(SwAV) M. Caron et al., "Unsupervised learning of visual features by contrasting cluster assignments", NeurIPS (2020) (Momentum Encoder, MOCO) K. He et al., "Momentum contrast for unsupervised visual representation learning", CVPR (2020) (Cosine schedule) J-B Grill et al., "Bootstrap your own latent-a new approach to self-supervised learning", NeurIPS (2020) (MeanTeacher) A. Tarvainen et al., "Mean teachers are better role models: Weight-averaged consistency targets improve semisupervised deep learning results", NeurIPS (2017)

Teacher network

Network architecture

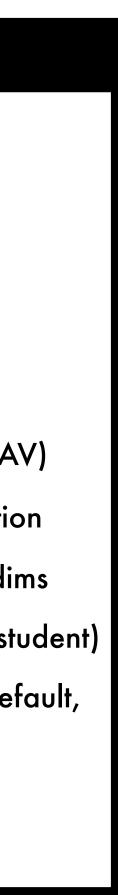
The neural network g consists of:

- a **backbone** f (ViT or ResNet)
- a projection head h

These are composed $g = h \circ f$

The features from f are used for downstream tasks The projection head is a 3-layer MLP (similar to SwAV) • 2048 dimensional hidden layer with l_2 normalisation • a fully connected layer with weight norm with K dims No predictor used (unlike BYOL, identical teacher/student) Since ViT architectures do not use batch norm by default, DINO with ViT backbone is free from batch norm

D. Ruppert, "Efficient estimations from a slowly convergent Robbins-Monro process" (1988) B. T. Polyak et al., "Acceleration of stochastic approximation by averaging." SICON (1992) (ViT) A. Dosovitskiy, et al. "An image is worth 16x16 words: Transformers for image recognition at scale", ICLR (2021) (ResNet) K. He et al., "Deep residual learning for image recognition", CVPR (2016) (WeightNorm) T. Salimans et al., "Weight normalization: A simple reparameterization to accelerate training of deep neural networks", NeurIPS (2016)





DINO: Avoiding collapse

Normalisation constraints to prevent collapse

A key problem for self-supervised methods is the prevention of representation collapse to a single vector Different mechanisms have been used to prevent collapse:

- Contrastive loss (e.g. Instance Discrimination)
- Clustering constraints (e.g. DeepCluster, SwAV)
- Predictor & Batch Norm (e.g. BYOL)

• Batch Norm alternatives such as Group Norm and Weigh Norm (BYOL-variant) DINO is found to work well with a combination of centring and sharpening of the teacher outputs This approach trades stability in exchange for reduced dependence on the batch Centring (unlike batch norm) only depends on first-order batch statistics This operation can be interpreted as adding a bias term c to the teacher: $g_t(x) \leftarrow g_t(x) + c$ The centre c is updated with an EMA, so it works well across different batch sizes:

 $c \leftarrow mc$

where m > 0 is a rate parameter and B is the batch size Sharpening is achieved by using a low softmax temperature τ_t for the teacher

References:

(InstanceDisc) Z. Wu et al., "Unsupervised feature learning via non-parametric instance discrimination", CVPR (2018) (DeepCluster) M. Caron et al., "Deep clustering for unsupervised learning of visual features", (ECCV) 2018 (SWAV) M. Caron et al., "Unsupervised learning of visual features by contrasting cluster assignments", NeurIPS (2020) (BYOL) J-B. Grill et al., "Bootstrap your own latent-a new approach to self-supervised learning", NeurIPS (2020) (BYOL-variant) P. Richemond et al., "BYOL works even without batch statistics", arxiv (2020)

$$+ (1 - m)\frac{1}{B}\sum_{i=1}^{B} g_{\theta_i}(x_i)$$

⁽Batch Norm) S. loffe et al., "Batch normalization: Accelerating deep network training by reducing internal covariate shift", ICML (2015) (Group Norm) Y. Wu et al., "Group normalization", ECCV (2018)

⁽Weight Norm) T. Salimans et al., "Weight normalization: A simple reparameterization to accelerate training of deep neural networks", NeurIPS (2016)

DINO: Nuts and bolts

Vision Transformer (ViT) for DINO

Name	ResNet-50	ViT-S/16	ViT-S/8	ViT-B/16	ViT-B/8
blocks	-	12	12	12	12
dim	2048	384	384	768	768
heads	-	6	6	12	12
#tokens	-	197	785	197	785
#params	23M	21 M	21 M	85M	85M
im/s	1237	1007	180	312	63

As with prior work [CLS] token aggregates information - this is projected via the projection head h

DINO optimisation details

Pretraining is performed on ImageNet without labels Models are optimised with AdamW with a batch size of 1024 on 16 GPUs (ViT-S/16) Use linear scaling learning rate warmup, then decays with a cosine schedule Weight decay follows a cosine schedule from 0.04 to 0.4 The temperature of the student τ_s is set to 0.1, while the teacher temperature τ_t is warmed up linearly from 0.04 to 0.07 over the first 30 epochs Use BYOL data augmentation (colour jitter, Gaussian blur, solarisation) Bicubic interpolation is used to adapt the position embeddings across scales

References:

H. Touvron et al., "Training data-efficient image transformers & distillation through attention", ICML (2021) (ImageNet) O. Russakovsky et al., "Imagenet large scale visual recognition challenge", IJCV (2015) (AdamW) I. Loshchilov et al., "Decoupled weight decay regularization", arxiv (2017) (LR warmup) P. Goyal et al., "Accurate, large minibatch sgd: Training imagenet in 1 hour", arxiv (2017)

DINO Vision Transformer implementation follows DeiT blocks - number of transformer blocks dim - channel dimension for representation heads - number of heads in multi-head attention #tokens - length of token sequence for 224² pixel inputs #params - total number of parameters (excluding projection head) im/s - timings on an NVIDIA V100 GPU with 128 samples in the minibatch

Models/code available on GitHub

(Cosine schedule) I. Loshchilov et al., "Sgdr: Stochastic gradient descent with warm restarts", arxiv (2016) (BYOL) J-B. Grill et al., "Bootstrap your own latent-a new approach to self-supervised learning", NeurIPS (2020) (Github) <u>https://github.com/facebookresearch/dino</u>

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Evaluation protocols

Evaluating DINO features

Linear probe protocol:

- Data augmentation (random resize crops and horizontal flips) to train the probe
- Evaluate on a central crop

Finetuning protocol:

• Initialise network with pretrained weights and adapt them during training **Note:** both protocols are sensitive to hyperparameter choices So, also evaluate under a k-NN protocol (Wu et al., 2018): • Freeze the pretrained model and compute features for training sets on downstream tasks Sweep over different numbers of nearest neighbours - a value of 20 NN is found to work well k-NN protocol requires no other hyperparameters or data augmentation It also requires only one pass over the downstream dataset (simplifying the evaluation procedure)

References:

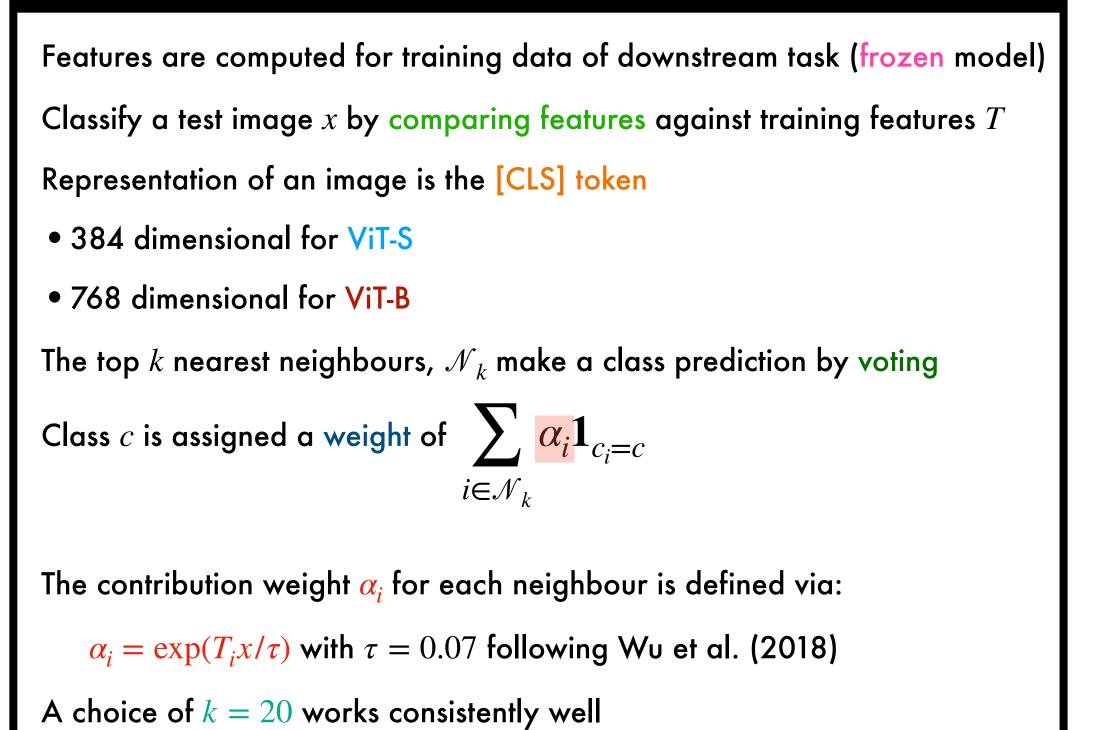
(k-NN self-sup protocol) Z. Wu et al., "Unsupervised feature learning via non-parametric instance discrimination", CVPR (2018)

Typically, self-supervised features are evaluated via linear probes (on frozen features) and finetuning

- Nearest neighbour classifier matches k nearest neighbours on training set and assigns label by votes

Evaluation protocols - details

k-NN weighted evaluation protocol



References:

(k-NN self-sup protocol) Z. Wu et al., "Unsupervised feature learning via non-parametric instance discrimination", CVPR (2018) (BERT) J. Devlin et al., "Bert: Pre-training of deep bidirectional transformers for language understanding", NAACL-HLT (2019)

Linear classification protocol

Remove projection head and train a supervised linear classifier on features

Classifier is trained with SGD and a batch size of 1024 for 100 epochs on ImageNet

No weight decay is applied

For each model, the learning rate is set by sweeping

During training: resize crops and horizontal flips, during testing: central crops

ViT-S feature-based	concatenate <i>l</i> last la	yers 1	2	4	6
concatenate [CLS] tokens	representation dim ViT-S/16 linear eva	384 1 76.1	768 76.6	1536 77.0	2304 77.0
ViT-B CNN-style	pooling strategy	[CLS] tok. only		nate [CLS	_
[CLS] & AVG POOL	representation dim ViT-B/16 linear eval	768 78.0		1536 78.2	



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Experiments - ImageNet

Comparing with the same architecture

	Method	Arch.	Param.	im/s	Linear	k-NN	
	Supervised	RN50	23	1237	79.3	79.3	
	SCLR	RN50	23	1237	69.1	60.7	
	MoCov2	RN50	23	1237	71.1	61.9	
	InfoMin	RN50	23	1237	73.0	65.3	
	BarlowT	RN50	23	1237	73.2	66.0	
	OBoW	RN50	23	1237	73.8	61.9	
	BYOL	RN50	23	1237	74.4	64.8	
For RN50	DCv2	RN50	23	1237	75.2	67.1	
DINO is	SwAV	RN50	23	1237	75.3	65.7	
competitive	DINO	RN50	23	1237	75.3	67.5	major g
	Supervised	ViT-S	21	1007	79.8	79.8	
	BYOL*	ViT-S	21	1007	71.4	66.6	
For ViT-S	MoCov2*	ViT-S	21	1007	72.7	64.4	
DINO	$SwAV^*$	ViT-S	21	1007	73.5	66.3	
yields gains	DINO	ViT-S	21	1007	77.0	74.5	minor g
	* baseline re-imp	lemented by DIN	O authors				_

Image credits/References:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021) H. Touvron et al., "Training data-efficient image transformers & distillation through attention", ICML (2021) (SCLR) T. Chen et al. "A simple framework for contrastive learning of visual representations" ICML (2020) (MoCov2) X. Chen et al., "Improved baselines with momentum contrastive learning", arxiv (2020) (InfoMin) Y. Tian et al. "What makes for good views for contrastive learning?" NeurIPS (2020)

Compar	e to existing	self-supervised m	ethods v	with <mark>d</mark> i	fferent	archite	ctu
	Method	Arch.	Param.	im/s	Linear	k-NN	
	Comparison	across architectures	5				
	SCLR	RN50w4	375	117	76.8	69.3	
	SwAV	RN50w2	93	384	77.3	67.3	
	BYOL	RN50w2	93	384	77.4	_	
duced /	DINO	ViT-B/16	85	312	78.2	76.1	
	SwAV	RN50w5	586	76	78.5	67.1	
atch	BYOL	RN50w4	375	117	78.6	_	
ze,	BYOL	RN200w2	250	123	79.6	73.9	
wer	DINO	ViT-S/8	21	180	79.7	78.3	
irams	SCLRv2	RN152w3+SK	794	46	79.8	73.1	- /
	DINO	ViT-B/8	85	63	80.1	77.4	¥

(BarlowT) J. Zbontar et al., "Barlow twins: Self-supervised learning via redundancy reduction", ICML (2021) (OBoW) S. Gidaris et al., "Obow: Online bag-of-visual-words generation for self-supervised learning", CVPR (2021) (BYOL) J-B Grill et al., "Bootstrap your own latent-a new approach to self-supervised learning", NeurIPS (2020) (DCv2/SwAV) M. Caron et al., "Unsupervised learning of visual features by contrasting cluster assignments", NeurIPS (2020)



Experiments - Properties of Self-Supervised Vit

Image Retrieval

Compare on Revisited Oxford and Revisited Paris retrieval datasets

Pretrain	Arch.	Pretrain	M	H	M	Η
Sup.	RN101+R-MAC	ImNet	49.8	18.5	74.0	52.1
Sup.	ViT-S/16	ImNet	33.5	8.9	63.0	37.2
DINO	ResNet-50	ImNet	35.4	11.1	55.9	27.5
DINO	ViT-S/16	ImNet	41.8	13.7	63.1	34.4
DINO	ViT-S/16	GLDv2	51.5	24.3	75.3	51.6

Image credits/References:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021) (Paris) J. Philbin et al., "Lost in quantization: Improving particular object retrieval in large scale image databases", CVPR (2008) (Revisited Oxford/Paris) F. Radenović et al., "Revisiting oxford and paris: Large-scale image retrieval benchmarking", CVPR (2018) (Retrieval baseline features) J. Revaud et al., "Learning with average precision: Training image retrieval with a listwise loss", ICCV (2019) (GLDv2) T. Weyand et al., "Google landmarks dataset v2-a large-scale benchmark for instance-level recognition and retrieval", CVPR (2020)

Copy detection

Evaluate on copy detection (recognise images distorted by blur, insertions etc.)

Benchmark: Copydays dataset (strong subset) 10K YFC100M distractors

DINO features: concat [CLS] token with GeM pooled patch tokens whiten

Method	Arch.	Dim.	Resolution	mAP
Multigrain Multigrain	ResNet-50 ResNet-50	2048 2048	224 ² largest side 800	75.1 82.5
Supervised	ViT-B/16	1536	224^{2}	76.4
DINO	ViT-B/16	1536	224^2	81.7
DINO	ViT-B/8	1536	320^2	85.5

(Copydays dataset) M. Douze et al., "Evaluation of gist descriptors for web-scale image search", CIVR (2009) (GeM) F. Radenović, et al. "Fine-tuning CNN image retrieval with no human annotation", TPAMI (2018) (MultiGrain) M. Berman et al., "Multigrain: a unified image embedding for classes and instances", arxiv (2019)



Experiments - Semantic Layout of Scenes

Qualitative Results

Self-attention from [CLS] token on heads of the last layer of ViT-S/8

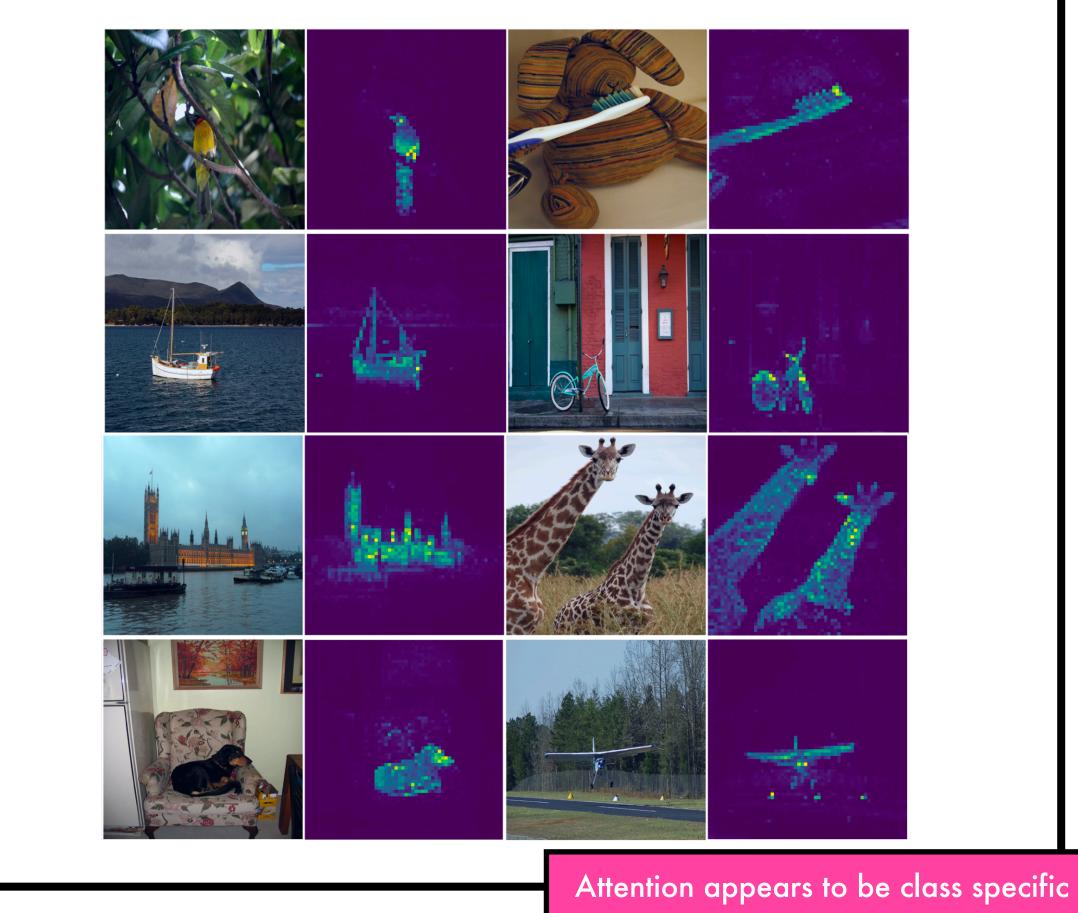


Image credits/References:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021)

Video Instance segmentation

Evaluate video instance segmentation on DAVIS-2017

Protocol: segment scenes with nearest neighbours between frames

Method	Data	Arch.	$(\mathcal{J}\&\mathcal{F})_m$	\mathcal{J}_m	\mathcal{F}_m
Supervised					
ImageNet	INet	ViT-S/8	66.0	63.9	68.1
STM	I/D/Y	RN50	81.8	79.2	84.3
Self-supervis	sed				
CT	VLOG	RN50	48.7	46.4	50.0
MAST	YT-VOS	RN18	65.5	63.3	67.6
STC	Kinetics	RN18	67.6	64.8	70.2
DINO	INet	ViT-S/16	61.8	60.2	63.4
DINO	INet	ViT-B/16	62.3	60.7	63.9
DINO	INet	ViT-S/8	69.9	66.6	73.1
DINO	INet	ViT-B/8	71.4	67.9	74.9

 \mathcal{J}_m - mean region similarity

 \mathcal{F}_m - mean contour-based accuracy

Frame resolution: 480 pixels

As DINO is not fine-tuned it must have retained some spatial information

(DAVIS-2017) J. Pont-Tuset et al., "The 2017 DAVIS challenge on video object segmentation", arxiv (2017) (STM) S. W. Oh et al., "Video object segmentation using space-time memory networks", ICCV (2019) (CT) X. Wang et al., "Learning correspondence from the cycle-consistency of time," CVPR (2019) (MAST) Z. Lai et al., "MAST: A memory-augmented self-supervised tracker", CVPR (2020) (STC) A. Jabri, "Space-time correspondence as a contrastive random walk", NeurIPS (2020)

Experiments - probing the self-attention map

Qualitative Results

Self-attention from [CLS] token (different heads, different colours) taken from the last layer of ViT-S/8

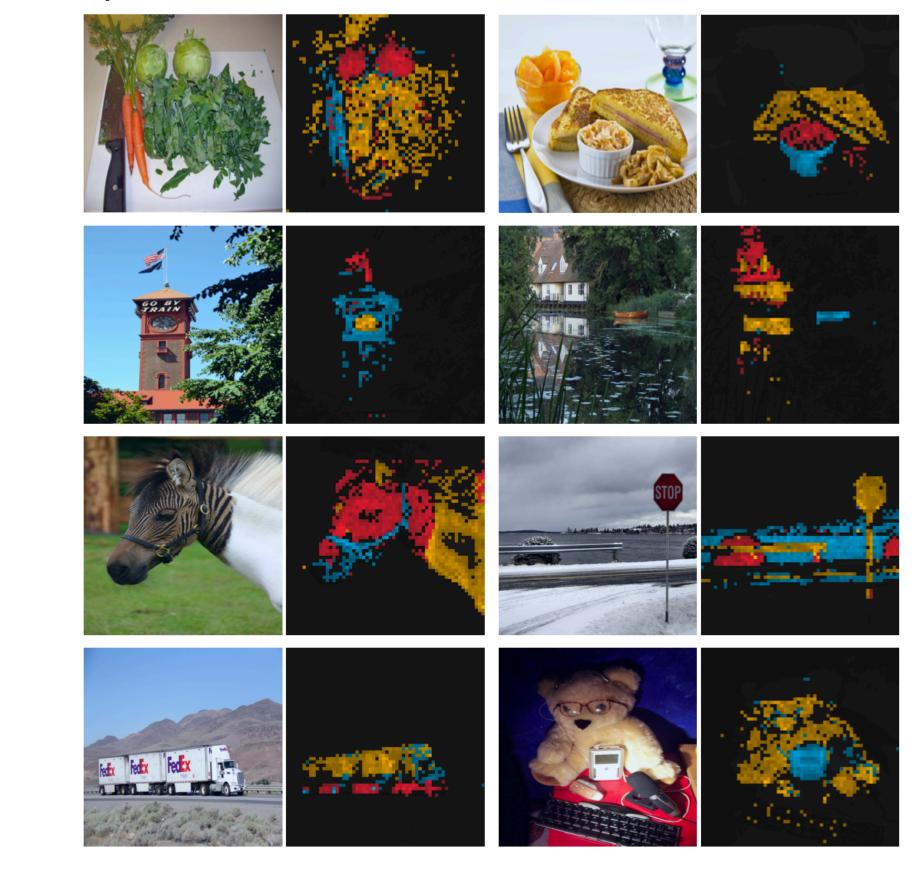


Image credits/References:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021)

Comparing supervised vs DINO segmentation

Visualise masks by thresholding [CLS] self-attention maps to keep 60% of mass

Supervised



DINO



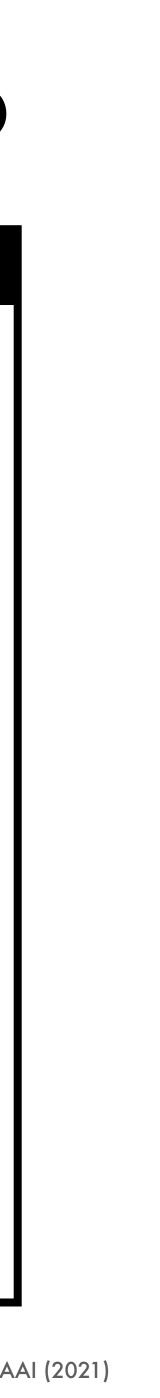
Quantify mask quality via Jaccard similarity between ground-truth and masks on Pascal VOC 2012

	Random	Supervised	DINO	
ViT-S/16	22.0	27.3	45.9	
ViT-S/8	21.8	23.7	44.7	

Note: can obtain segmentations from self-sup. CNNs, but need dedicated

methods e.g. using gradients/attribution propagation, Gur et al. (2021)

(Pascal VOC) M. Everingham et al., "The pascal visual object classes (voc) challenge", IJCV (2010) S. Gur et al., "Visualization of supervised and self-supervised neural networks via attribution guided factorization", AAAI (2021)



Experiments - visualisation of reference points

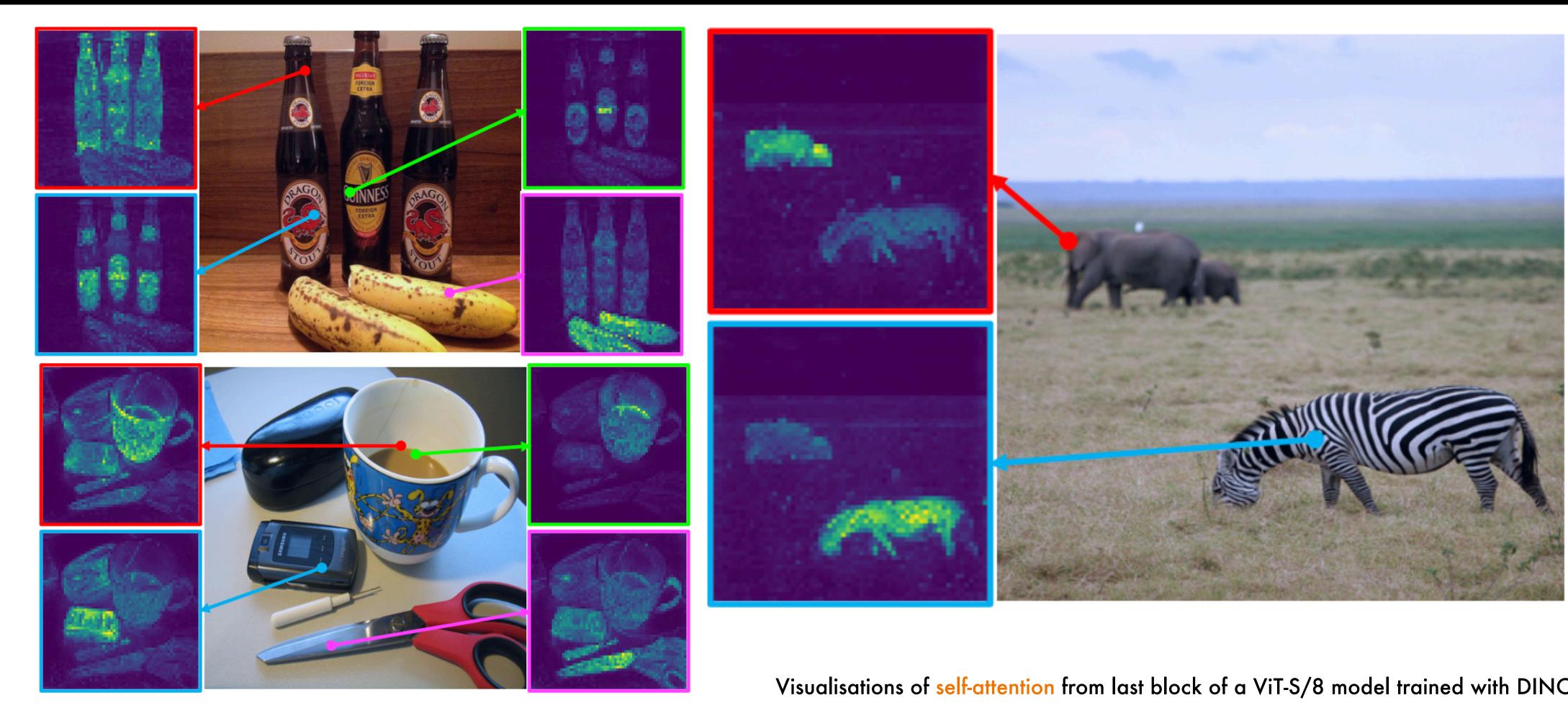


Image credits/References:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021)

Qualitative Results

Visualisations of self-attention from last block of a ViT-S/8 model trained with DINO



Experiments - class visualisation with t-SNE

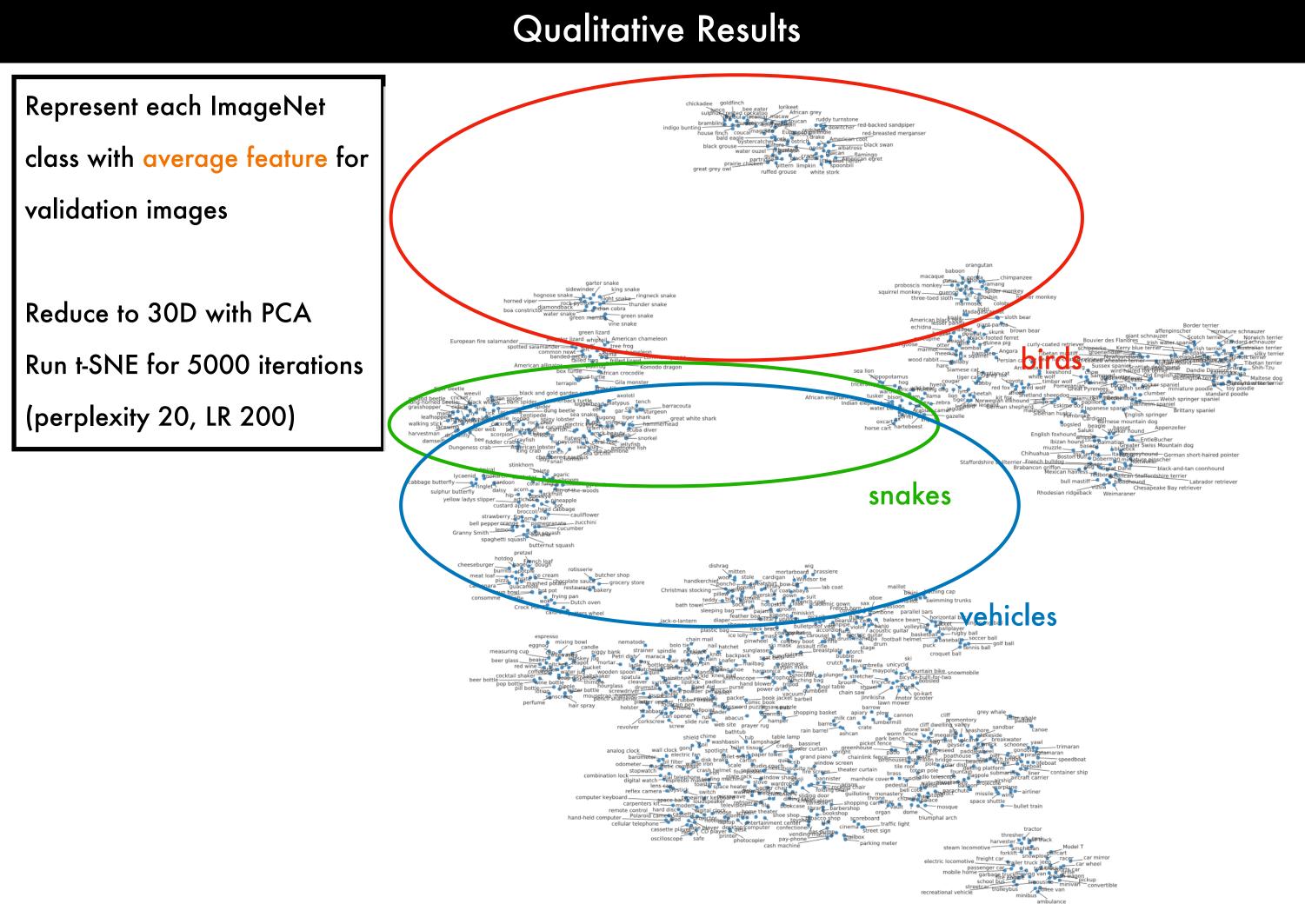


Image credits/References:

L. Van der Maaten et al., "Visualizing data using t-SNE", JMLR (2008) M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021)

Experiments - transfer learning on downstream tasks

Transfer learning

To evaluate feature quality, DINO features are compared to supervised features with the same architecture trained with ImageNet labels The transfer learning protocol follows DeiT across 8 tasks and compares to the supervised baseline provided by DeIT

	Cifar ₁₀	Cifar ₁₀₀	INat ₁₈	INat ₁₉	Flwrs	Cars	INet
ViT-S/16							
Sup.	99.0	89.5	70.7	76.6	98.2	92.1	79.9
DINO	99.0	90.5	72.0	78.2	98.5	93.0	81.5
ViT-B/16							
Sup.	99.0	90.8	73.2	77.7	98.4	92.1	81.8
DINO	99.1	91.7	72.6	78.6	98.8	93.0	82.8

As observed in previous works, self-supervised features appear to transfer better than supervised features

DINO attains notable gains on ImageNet itself

Image credits/References:

(DeiT) H. Touvron et al., "Training data-efficient image transformers & distillation through attention", ICML (2021) M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021) (Cifar₁₀/Cifar₁₀₀) A. Krizhevsky, "Learning multiple layers of features from tiny images", (2009) (INat₁₈/INat₁₉) G. Horn et al., "The inaturalist challenge 2018 dataset". arxiv (2018) (Flwrs) M-E. Nilsback et al., "Automated flower classification over a large number of classes" ICVGIP (2008)

(Cars) J. Krause et al., "3d object representations for fine-grained categorization", ICCVW (2013) (INet) O. Russakovsky et al., "Imagenet large scale visual recognition challenge", IJCV (2015)



Experiments: low-shot learning on ImageNet

Low-shot learning on ImageNet

Evaluate features on low-shot learning on ImageNet

Method

Self-supervised pretrai UDA SimCLRv2 **BYOL** SwAV SimCLRv2 **BYOL** Semi-supervised metho SimCLRv2+KD SwAV+CT **FixMatch** MPL SimCLRv2+KD

Frozen self-supervised **DINO** -FROZEN

Image credits/References:

J. Mairal, "Cyanure: An open-source toolbox for empirical risk minimization for python, c++, and soon more", arxiv (2019) M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021) (UDA) Q. Xie, et al., "Unsupervised data augmentation for consistency training", NeurIPS (2020) (SimCLRv2) T. Chen et al., "Big self-supervised models are strong semi-supervised learners", NeurIPS (2020) (BYOL) J-B Grill et al., "Bootstrap your own latent-a new approach to self-supervised learning", NeurIPS (2020)

Train logistic regression (using cyanure) on frozen features

		Тор) 1
Arch	Param.	1%	10%
ining with finetu	ining		
RN50	23	_	68.1
RN50	23	57.9	68.4
RN50	23	53.2	68.8
RN50	23	53.9	70.2
RN50w4	375	63.0	74.4
RN200w2	250	71.2	77.7
ods			
RN50	23	60.0	70.5
RN50	23	_	70.8
RN50	23	_	71.5
RN50	23	_	73.9
RN152w3+S	K 794	76.6	80.9
d features			
ViT-S/16	21	64.5	72.2

(SwAV) M. Caron et al., "Unsupervised learning of visual features by contrasting cluster assignments", NeurIPS (2020) (SwAV+CT) M. Assran et al., "Recovering petaflops in contrastive semi-supervised learning of visual representations", arxiv (2020) (FixMatch) K. Sohn et al., "Fixmatch: Simplifying semi-supervised learning with consistency and confidence", NeurIPS (2020) (MPL) H. Pham et al., "Meta pseudo labels", CVPR (2021)



- Motivation
- Related work
- DINO framework
- Evaluation protocols
- Experiments
- Ablations
- Summary

Ablation studies

Framework components

Which components contribute to DINO's performance?

Train ViT-S/16 for 300 epochs on ImageNet

Method	Mom.	SK	MC	Loss	Pred.	k-NN	Lin.
1 DINO	\checkmark	X	\checkmark	CE	X	72.8	76.1
2	×	X	\checkmark	CE	X	0.1	0.1
3	\checkmark	\checkmark	\checkmark	CE	X	72.2	76.0
4	\checkmark	×	×	CE	×	67.9	72.5
5	\checkmark	X	\checkmark	MSE	×	52.6	62.4
6	\checkmark	X	\checkmark	CE	\checkmark	71.8	75.6
7 BYOL	\checkmark	X	X	MSE	\checkmark	66.6	71.4
8 MoCov2	\checkmark	X	X	INCE	×	62.0	71.6
9 SwAV	×	\checkmark	\checkmark	CE	×	64.7	71.8
Mom MomentumPred Student PredictorSK - Sinkhorn-KnoppLin Linear probe							or

MC - Multi-Crop

Image credits/References:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021) (SwAV) M. Caron et al., "Unsupervised learning of visual features by contrasting cluster assignments", NeurIPS (2020) (BYOL) J-B Grill et al., "Bootstrap your own latent-a new approach to self-supervised learning", NeurIPS (2020) (MoCov2) X. Chen et al., "Improved baselines with momentum contrastive learning", arxiv (2020)

Self-supervised backbone influence

Backbones: Train both ResNet-50 and ViT-S/16 for 300 epochs on ImageNet

	ResN	et-50	ViT-small		
Method	Linear	k-NN	Linear	k-NN	
MoCo-v2	71.1	62.9	71.6	62.0	
BYOL	72.7	65.4	71.4	66.6	
SwAV	74.1	65.4	71.8	64.7	
DINO	74.5	65.6	76.1	72.8	

DINO is particularly effective for self-supervised training of vision transformers.



Ablation studies - methodology comparison

Relationship to MoCo-v2 and BYOL

Fine-grained analysis of components (top-1 linear probe accuracy)

	Method	Loss	multi-crop	Center.	BN	Pred.	Top-1
1	DINO	CE	\checkmark	\checkmark			76.1
2	_	MSE	\checkmark	\checkmark			62.4
3	_	CE	\checkmark	\checkmark		\checkmark	75.6
4	_	CE		\checkmark			72.5
5	MoCov2	INCE			\checkmark		71.4
6		INCE	\checkmark		\checkmark		73.4
7	BYOL	MSE			\checkmark	\checkmark	71.4
8	_	MSE			\checkmark		0.1
9	_	MSE		\checkmark			52.6
10	_	MSE	\checkmark		\checkmark	\checkmark	64.8

Center. - Centering operator

BN - Batch Normalization in the projection heads

Pred. - Student Predictor

Image credits/References:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021) (BYOL) J-B Grill et al., "Bootstrap your own latent-a new approach to self-supervised learning", NeurIPS (2020) (MoCov2) X. Chen et al., "Improved baselines with momentum contrastive learning", arxiv (2020) (SwAV) M. Caron et al., "Unsupervised learning of visual features by contrasting cluster assignments", NeurIPS (2020) (Sinkhorn-Knopp) M. Cuturi, "Sinkhorn distances: Lightspeed computation of optimal transport", NeurIPS (2013)

Relationship to SwAV

Effect of momentum and teacher output operation

	Method	Momentum	Operation	Top-1
1	DINO	\checkmark	Centering	76.1
2	_	\checkmark	Softmax(batch)	75.8
3	_	\checkmark	Sinkhorn-Knopp	76.0
4	_		Centering	0.1
5	_		Softmax(batch)	72.2
6	SwAV		Sinkhorn-Knopp	71.8

Details on Softmax(batch) variant

Implementation of Sinkhorn-Knopp used in SwAV:

```
\# x is n-by-K
# tau is Sinkhorn regularization param
  = \exp(x / tau)
for _ in range(num_iters): # 1 iter of Sinkhorn
 # total weight per dimension (or cluster)
 c = sum(x, dim=0, keepdim=True)
  x /= c
   total weight per sample
 n = sum(x, dim=1, keepdim=True)
  # x sums to 1 for each sample (assignment)
  x /= n
```

Softmax(batch) variant (equivalent to num iters=1):

```
x = softmax(x / tau, dim=0)
x /= sum(x, dim=1, keepdim=True)
```



Ablation studies - k-NN performance and pretraining

k-NN classification vs linear probe performance

Compare ResNet-50 and ViT-S (frozen DINO features)

No data augmentation is used when extracting features

	I	Logistic			k-NN			
	RN50 ViT-S Δ RN50 ViT-S					Δ		
Inet 100%	72.1	75.7	3.6		67.5	74.5	7.0	
Inet 10%	67.8	72.2	4.4		59.3	69.1	9.8	
Inet 1%	55.1	64.5	9.4		47.2	61.3	14.1	
Pl. 10%	53.4	52.1	-1.3		46.9	48.6	1.7	
Pl. 1%	46.5	46.3	-0.2		39.2	41.3	2.1	
VOC07	88.9	89.2	0.3		84.9	88.0	3.1	
FLOWERS	95.6	96.4	0.8		87.9	89.1	1.2	
Average Δ			2.4				5.6	

DINO ViT-S features yield a particularly good k-NN classifier

Image credits/References:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021) (Inet) O. Russakovsky et al., "Imagenet large scale visual recognition challenge", IJCV (2015) (PI) B. Zhou et al., "Learning deep features for scene recognition using places database", NeurIPS (2014) (VOC07) M. Everingham et al., "The pascal visual object classes (voc) challenge", IJCV (2010) (FLOWERS) M-E. Nilsback et al., "Automated flower classification over a large number of classes" ICVGIP (2008)

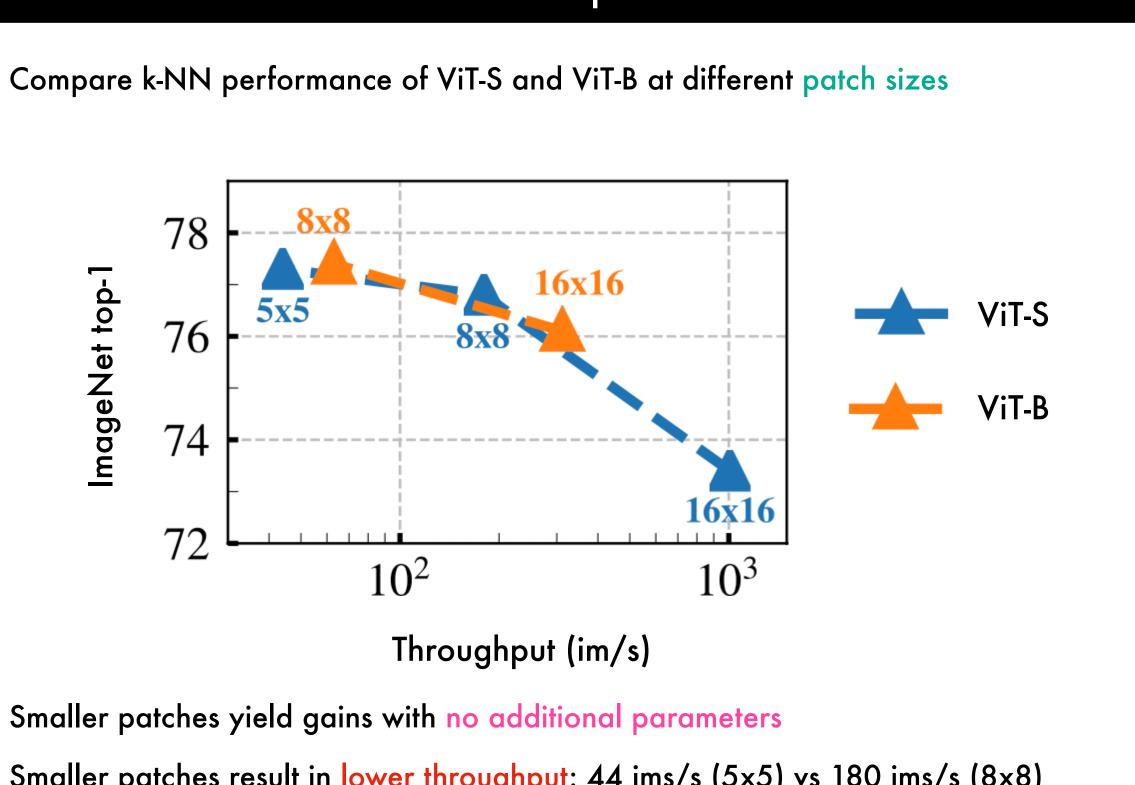
	ViT-B/16 on	mager			
Pretr	aining				
method	data	res.	tr. proc.	Top-1	
Pretrain on a	additional data				
ΜΡΡ	JFT-300M	384	ViT	79.9	
Supervised	JFT-300M	384	ViT	84.2	
Train with a	dditional model				
Rand. init.	-	224	DeiT	83.4	(RegNet
No additiond	ıl data nor mode	el			
Rand. init.	-	224	ViT	77.9	
Rand. init.	-	224	DeiT	81.8	
Supervised	ImNet	224	DeiT	81.9	
DINO	ImNet	224	DeiT	82.8	

(ViT) A. Dosovitskiy, et al. "An image is worth 16x16 words: Transformers for image recognition at scale", ICLR (2021) (DeiT) H. Touvron et al., "Training data-efficient image transformers & distillation through attention", ICML (2021) (RegNetY) I. Radosavovic et al., "Designing network design spaces", CVPR (2020)



Ablation studies - patch size

Influence of patch size



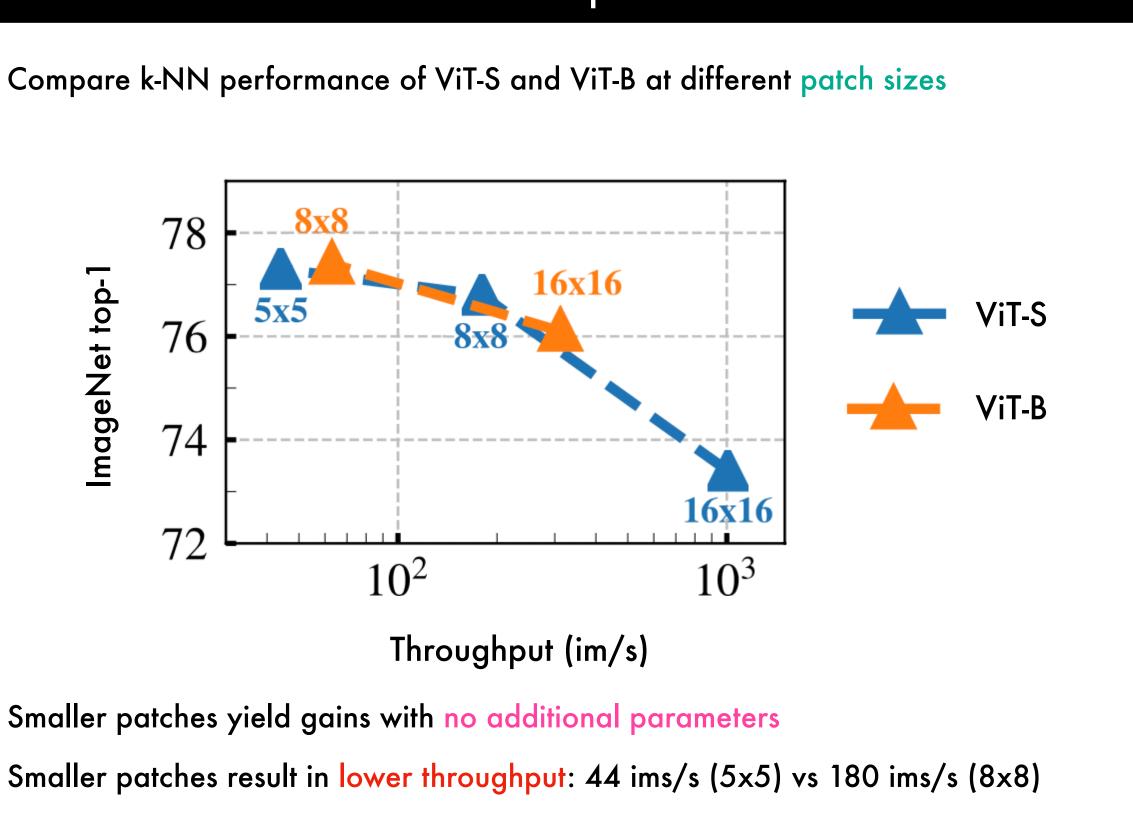


Image credits/References:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021)

Ablation studies - projection heads

Overview

Like SimCLR, DINO benefits from a projection head Follow an approach inspired by SwAV:

- n-layer MLP (2048D hidden units, GELU activations)
- Last layer (no GELU) l_2 norm, WeightNorm on FC

BN-free system

No batch norm is used in DINO ViT projection heads System is therefore "BN-free" ViT-S, 100 epochs heads w/o BN heads w/ BN

69.7 68.6 k-NN top-1

BN-free: simpler and no need for BN synchronisation

w/ol2-bottleneck $g(\mathbf{x})$ $B \times K$ projection head n-layer MLP B x 384 **X** *B x* 3 *x* 224 *x* 224

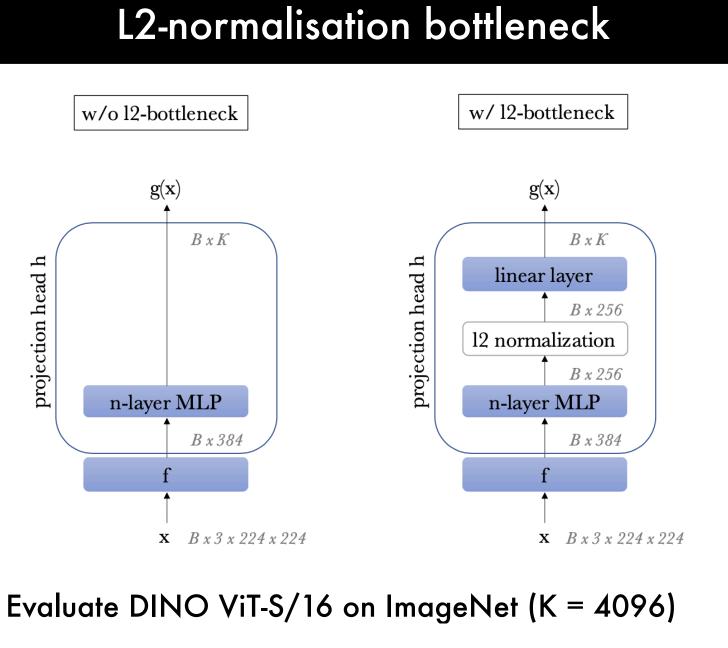
proj. head linear lay

w/12-norm bottlenecl w/o 12-norm bottlened

Takeaway: the 12 bottleneck is essential

Image credits/References:

(SimCLR) T. Chen et al., "A simple framework for contrastive learning of visual representations", ICML (2020) (WeightNorm) T. Salimans et al., "Weight normalization: A simple reparameterization to accelerate training of deep neural networks" NeurIPS (2016) (Batch Norm) S. loffe et al., "Batch normalization: Accelerating deep network training by reducing internal covariate shift", ICML (2015)



yers	1	2	3	4	
k	_	62.2	68.0	69.3	
ck	61.6	62.9	0.1	0.1	
ttlen	eck is	essen	hial		

Output dimension

Compare projection head output dimensions							
For each output dimension size, bottleneck is 256D							
K	1024	4096	16384	65536	262144		
k-NN top-1	67.8	69.3	69.2	69.7	69.1		
Using a large dimensionality helps (up to a point)							
GELU activations							

Compare projection head activation functions

Note: by default GELU is used in ViT

ViT-S, 100 epochs	heads w/ GELU	heads w/ ReLU	
k-NN top-1	69.7	68.9	

GELU is preferable to ReLU for the projection head



Ablation studies - choice of teacher network

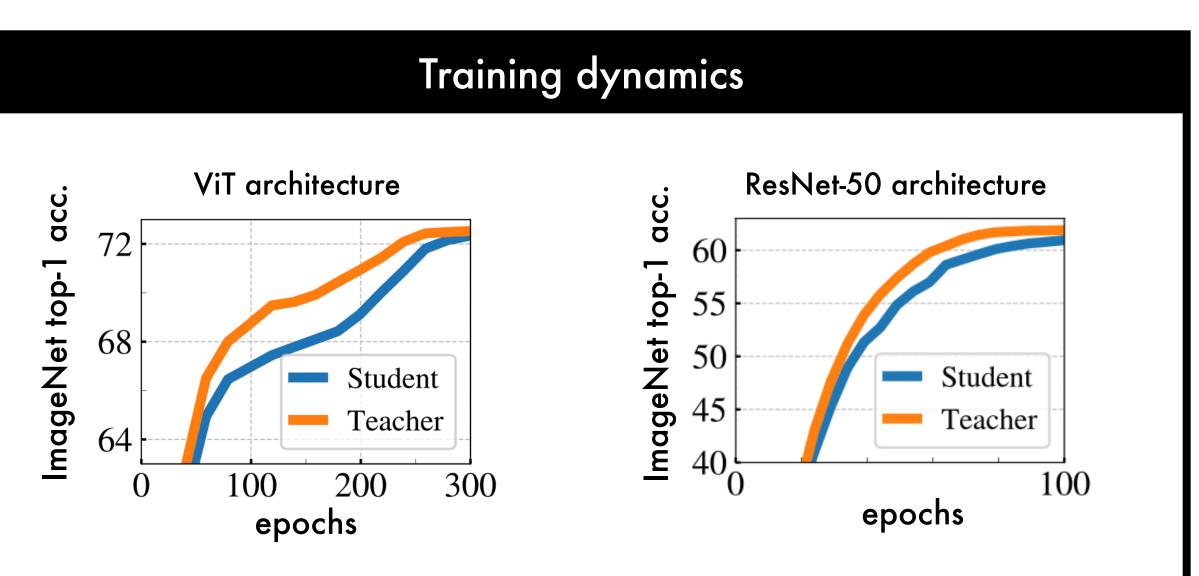
Building the teacher from the student

Various strategies can be used to build the teacher from the student Performance is compared on ImageNet top-1accuracy (with k-NN)

Teacher	Top-1
Student copy	0.1
Previous iter	0.1
Previous epoch	66.6
Momentum	72.8

Image credits/References:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021) D. Ruppert, "Efficient estimations from a slowly convergent Robbins-Monro process" (1988) B. T. Polyak et al., "Acceleration of stochastic approximation by averaging", SICON (1992)



Interpretation: momentum teacher in DINO is a form of Polyak-Ruppert averaging This provides a (higher-quality) model ensemble that guides the student



Ablation studies - avoiding collapse

Avoiding the collapse of representations

There are **two** forms of collapse that can occur during pretraining:

- collapse to a uniform output along all dimensions
- collapse to a vector dominated by only one dimension

Centring avoids collapse along one dimension but encourages uniform output Sharpening avoids uniform output but encourages collapse along one dimension This can be seen by decomposing the cross-entropy between the distributions:

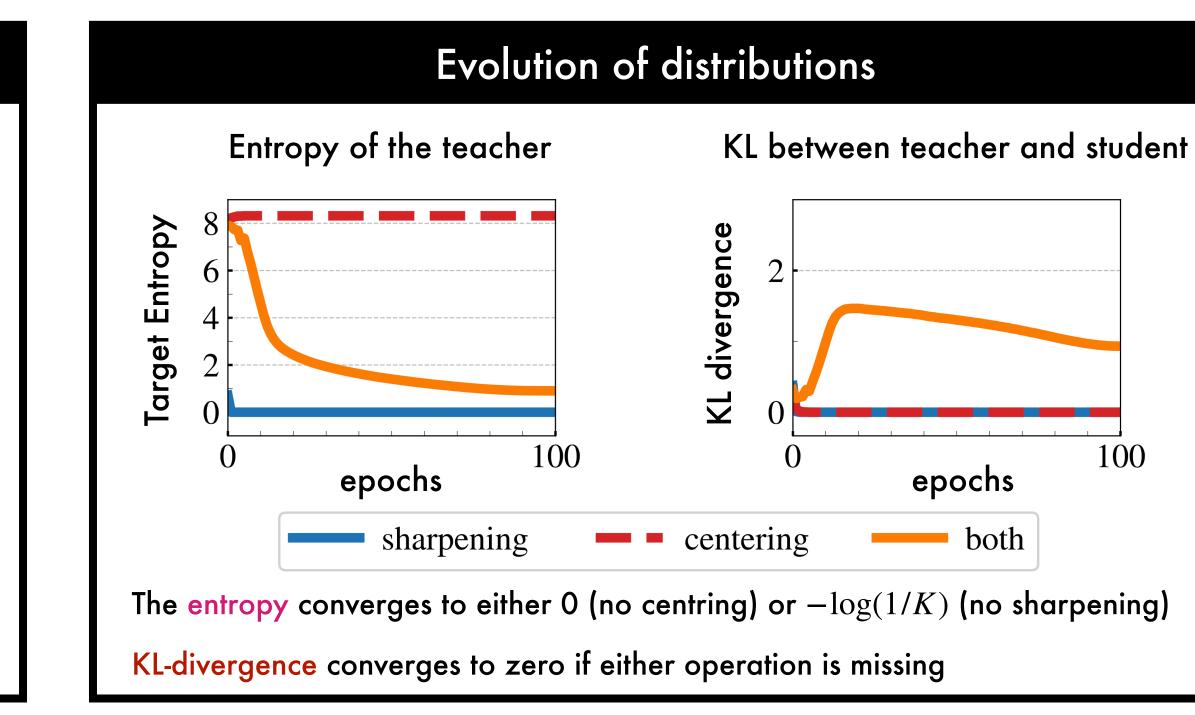
$$H(P_t, P_s) = h(P_t) + \frac{D_{KL}(P_t | P_s)}{D_{KL}(P_t | P_s)}$$

When KL term is equal to zero, the two distributions are identical

This indicates the outputs are constant, so a collapse has occurred

Image credits/References:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021)





Ablation studies: optimisation hyperparameters

Online centring

Influence of the momentum hyperparameter for centre updates:

m	0	0.9	0.99	0.999	
k-NN top-1	69.1	69.7	69.4	0.1	Collapse!

Sharpening

Influence of t	ne tea	cher s	oftma	x temp	peratu	re $ au_t$	
$ au_t$	0	0.02	0.04	0.06	0.08	0.04 ightarrow 0.07	linear warmup
\overline{k} -NN top-1	43.9	66.7	69.6	68.7	0.1	69.7	for 30 epochs

Longer training

Influence of trai	ning mor	e epoch	S	
DINO ViT-S	100-ер	300-ер	800-ер	Note: for main comparison BYOL
\overline{k} -NN top-1	70.9	72.8	74.5	is only trained for 300 epochs

Image credits/References:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021) (Pascal VOC) M. Everingham et al., "The pascal visual object classes (voc) challenge", IJCV (2010) (MoCo-v2) X. Chen et al., "Improved baselines with momentum contrastive learning", arxiv (2020)

Supervised vs self-supervised self-attention maps

Compare supervised vs self-supervised
ViT-S/16 self-attention for segmentation
Evaluate on Pascal VOC 2012
Threshold to keep a fixed % of mass
Compute Jaccard similarity to ground truth

ViT-S/16 weights	
Random weights	22.0
Supervised	27.3
DINO	45.9
DINO w/o multicrop	45.1
MoCo-v2	46.3
BYOL	47.8
SwAV	46.8

Key ingredient: Self-supervision + ViT

Number of ViT-S heads

Influence of number of ViT-S heads on accuracy and throughput

	# heads	dim	dim/head	# params	im/sec	k-NN
	6	384	64	21	1007	72.8
	8	384	48	21	971	73.1
	12	384	32	21	927	73.7
	16	384	24	21	860	73.8
For all other experiments in the paper, 6 heads are used.						

(BYOL) J-B Grill et al., "Bootstrap your own latent-a new approach to self-supervised learning", NeurIPS (2020) (SwAV) M. Caron et al., "Unsupervised learning of visual features by contrasting cluster assignments", NeurIPS (2020)



Ablations: multi-crop strategy

Range of scales					
Generate views with RandomResizedCrop					
Select a scale hyperparameter, s:					
• 2 global views in scale (s, 1), resize to 224×224					
• 6 local views with scale (0.05, s), resize to 96 × 96					
Arbitrary choice: non-overlapping scale ranges					
(0.05, <i>s</i>), (<i>s</i> , 1), <i>s</i> :	0.08	0.16	0.24	0.32	0.48
k-NN top-1	65.6	68.0	69.7	69.8	69.5

Note: best value (≈ 0.3) is higher than SwAV (≈ 0.14)

Multi-crop for different frameworks						
ViT-S/16 for 300 epochs with various frameworks						
crops	2×224^2		$2 \times 224^2 + 6 \times 96^2$			
eval	k-NN	linear	k-NN	linear		
BYOL	66.6	71.4	59.8	64.8		
SwAV	60.5	68.5	64.7	71.8		
MoCo-v2	62.0	71.6	65.4	73.4		
DINO	67.9	72.5	72.7	75.9		

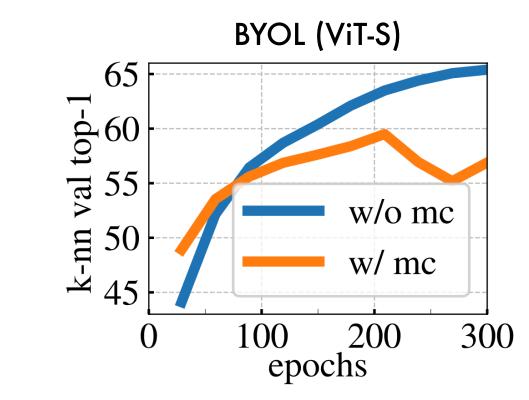
DINO sees a major boost, while BYOL does worse

Image credits/References:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021) (SwAV) M. Caron et al., "Unsupervised learning of visual features by contrasting cluster assignments", NeurIPS (2020) (BYOL) J-B Grill et al., "Bootstrap your own latent-a new approach to self-supervised learning", NeurIPS (2020) (MoCo-v2) X. Chen et al., "Improved baselines with momentum contrastive learning", arxiv (2020)

Multi-crop with BYOL

Study **BYOL** performance with/without multi-cropping



Consistent effect across a range of hyperparameters



Compute requirements and batch sizes

Computational requirements for DINO

Measure time/GPU memory used to run ViT-S/16 on two 8-GPU machines

	100 epochs		300 epochs		
multi-crop	top-1	time	top-1	time	mem.
2×224^2	67.8	15.3h	72.5	45.9h	9.3G
$2 \times 224^2 + 2 \times 96^2$	71.5	17.0h	74.5	51.0h	10.5G
$2 \times 224^2 + 6 \times 96^2$	73.8	20.3h	75.9	60.9h	12.9G
$2 \times 224^2 + 10 \times 96^2$	74.6	24.2h	76.1	72.6h	15.4G

Multi-crop improves the accuracy/running-time trade off (with extra memory)

Gains due to additional views see diminishing returns

Image credits/References:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021) P. Goyal et al., "Accurate, large minibatch sgd: Training imagenet in 1 hour", arxiv (2017)

Training with small batches

Investigate the influence of batch size on feature quality

Evaluate ImageNet top-1 with k-NN after 100 epochs without multi-crop

Scale learning rate linearly with batch size (Goyal et al., 2019)

bs	128	256	512	1024
top-1	57.9	59.1	59.6	59.9

DINO still works well with smaller batch sizes (some re-tuning required) Note: this differs from contrastive approaches (for which batch size is critical)



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Summary

DINO can train a ViT with self-supervision to reach a comparable performance with the best CNNs

Two additional properties emerge from DINO:

- high-quality features for k-NN classification

DINO may provide a route to build a **BERT-like** model on ViT

<u>Future work:</u> self-supervised pretraining on uncurated images (Goyal et al., 2022)

References:

M. Caron et al., "Emerging properties in self-supervised vision transformers", ICCV (2021) (BERT) J. Devlin et al., "Bert: Pre-training of deep bidirectional transformers for language understanding", NAACL-HLT (2019) P. Goyal et al., "Vision models are more robust and fair when pretrained on uncurated images without supervision", arxiv (2022)

DINO summary

• features contain information about scene layout (useful for segmentation)